



City of York Council

CITY OF YORK LOCAL PLAN

City of York Local Plan Publication Draft
(Regulation 19 Consultation) Sustainability
Appraisal Report Addendum - Consultation on
Proposed Modifications to Policy H5 'Gypsies and
Travellers'





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NON-TECHNICAL SUMMARY

PURPOSE OF THIS REPORT

This document is the July 2024 addendum to the City of York Local Plan: Publication Draft - Sustainability Appraisal Report (Feb 2018).

The purpose of this addendum is to:

- assess the likely significant effects of the additional main modifications (MMs) to Policy H5: Gypsies and Travellers of the draft Local Plan;
- update the previous SA as appropriate to ensure that all the likely significant effects of the Local Plan (as proposed) have been identified, described and evaluated;
- ensure that the requirements for SA (including the Strategic Environmental Assessment (SEA) regulations¹) have been met;
- support the City of York Local Plan – Consultation On Proposed Modifications To Policy H5 ‘Gypsies and Travellers’.

This report should be read in conjunction with the following documents which can be accessed through the Council’s examination website (<https://www.york.gov.uk/LocalPlanExamination>):

- City of York Local Plan: Publication Draft - Sustainability Appraisal Report (February 2018) [CD008, CD009A-D and CD010];
- City of York Local Plan: Publication Draft – Sustainability Appraisal Report Addendum (April 2018) [CD011];
- City of York Local Plan: Publication Draft - Sustainability Appraisal Report Addendum (June 2019) [EX/CYC/24a-c];
- City of York Local Plan: Publication Draft - Sustainability Appraisal Report Addendum (May 2021) [EX/CYC/62]; and
- City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum – Proposed Modifications Consultation (January 2023) [EX/CYC/128b].

THE DRAFT LOCAL PLAN AND ADDITIONAL MAIN MODIFICATIONS

The new Local Plan for the City of York will set out how much new development is to be accommodated in the District to 2033 (defining Green Belt boundaries which will endure beyond the plan period) and set out where this growth will be located. The draft Local Plan was submitted to the Secretary of State for examination on 25th May 2018. As the draft Local Plan was submitted before 24th January 2019, under the transitional arrangements set out in paragraph 220 (Annex 1) of the

¹ Statutory Instrument 2004 No. 1633 – The Environmental Assessment of Plans and Programmes Regulations 2004



National Planning Policy Framework (NPPF) (2023), it is being examined against the national planning policy provisions of the previous NPPF (2012) and National Planning Policy Guidance (PPG).

The government appointed two Planning Inspectors to conduct an independent examination (known as an “Examination in Public” or “EiP”) into the Local Plan. EiP hearings took place during 2019 and 2022. Following the conclusion of these hearings, the Council consulted on a series of proposed modifications to the draft Local Plan in January 2023. In March 2024 the Inspectors held a EiP hearing related to the Gypsy and Travellers policy. Following the conclusion of the hearing, and as advised by the Inspectors, the Council is consulting on a series of additional MMs. These MMs are required to make the Local Plan sound.

WHAT IS SUSTAINABILITY APPRAISAL?

National planning policy² states that local plans are key to delivering sustainable development. Sustainable development is that which seeks to strike a balance between economic, environmental and social factors to meet the needs of the present without compromising the ability of future generations to meet their own needs. It is very important that the City of York Local Plan contributes to a sustainable future for the plan area. To support this objective, the Council is required to carry out a Sustainability Appraisal (SA) of the Local Plan.³ SA is a means of ensuring that the likely social, economic and environmental effects of the Local Plan are identified, described and appraised and also incorporates a process set out under UK SEA regulations⁴. Where negative effects are identified, measures will be proposed to avoid, minimise or mitigate such effects. Where any positive effects are identified, measures will be considered that could enhance such effects. SA is therefore an integral part of the preparation of the Local Plan.

DETERMINING THE SIGNIFICANCE OF THE PROPOSED MODIFICATIONS FOR SA

The additional MMs have been reviewed to determine whether further appraisal within the SA is required. **Section 2.2** sets out the principles that have been used to determine the likely significance of any proposed modification. These include consideration of the implications for the SA of Policy H5 where the additional MM:

- adds text to the existing policy such as the introduction of an additional criterion;
- deletes text from the policy;
- amends the supporting text clarifying how policies will be implemented.

The screening of the proposed modifications is set out in **Appendix A**. The summary of those proposed modifications that are considered significant for SA is set out in **Section 3.2**.

HOW HAVE THE PROPOSED MODIFICATIONS BEEN APPRAISED?

A SA Framework has been developed to complete the appraisal of the emerging Local Plan. This contains a series of sustainability objectives and guide questions that reflect the current socio-economic and environmental issues which may affect (or be affected by) the Local Plan and the

² See paragraph 150-151 of the National Planning Policy Framework (Department for Communities and Local Government, 2012). As noted previously, the draft Local Plan was submitted for examination before 24th January 2019 and is therefore being assessed against the NPPF (2012) rather than the latest NPPF (2023).

³ The requirement for SA of local plans is set out under section 19(5) of the Planning and Compulsory Purchase Act 2004.

⁴ Environmental Assessment of Plans and Programmes Regulations 2004 (statutory instrument 2004 No. 1633).

objectives contained within other plans and programmes reviewed for their relevance to the SA and Local Plan. The SA objectives are shown in **Table NTS1.1**.

Table NTS1.1 SA Objectives used to appraise the Local Plan Publication Draft

SA Objective
1. To meet the diverse housing needs of the population in a sustainable way.
2. Improve the health and wellbeing of York's population
3. Improve education, skills development and training for an effective workforce
4. Create jobs and deliver growth of a sustainable, low carbon and inclusive economy
5. Help deliver equality and access to all
6. Reduce the need to travel and deliver a sustainable integrated transport network
7. To minimise greenhouse gases that cause climate change and deliver a managed response to its effects
8. Conserve or enhance green infrastructure, bio-diversity, geodiversity, flora and fauna for accessible high quality and connected natural environment
9. Use land resources efficiently and safeguard their quality
10. Improve water efficiency and quality
11. Reduce waste generation and increase level of reuse and recycling
12. Improve air quality
13. Minimise flood risk and reduce the impact of flooding to people and property in York
14. Conserve or enhance York's historic environment, cultural heritage, character and setting
15. Protect and enhance York's natural and built landscape

The Local Plan Publication Draft policies have been appraised using matrices to identify likely significant effects on the SA objectives. A qualitative scoring system has been adopted which is set out in **Table NTS1.2**. This scoring has been used where changes to the appraisal in the Publication Draft SA Report (2018) identified in **Appendix A** (with updated appraisals contained in **Appendix B**). Where the revision to the matrix requires the removal of text, this is indicated using strikethrough, where new text has been added this is underlined. Where the matrices include changes to text updated by earlier SA Report Addenda consulted on post hearings (May 2021 and January 2023) they are also highlighted in bold. The latest amendments identified in this report are also represented by underline and strikethrough in bold but the text is also highlighted in blue. The outcome of these appraisals is summarised in **Section 3** of this Report.

Table NTS1.2 Scoring System used in the appraisal of the draft Local Plan

Symbol	Likely Effects on the SA Objective
++	The policy is likely to have a significant positive effect on the SA objective.
+	The policy is likely to have a positive effect on the SA objective.
0	No significant effect / no clear link between the policy and the SA objective.
I	Depends upon Policy Implementation (applied to GIS Assessments)
?	Uncertain or insufficient information on which to determine effect on the SA objective.
-	The policy is likely to have a negative effect on the SA objective.
--	The policy is likely to have a significant negative effect on the SA objective.

WHAT ARE THE FINDINGS OF THE REPORT?

No changes to the scoring of Policy H5 have been identified, although a number of changes to appraisal commentary in the Publication Draft SA Report (2018) text have been identified related to the number of pitches to be provided and the new requirements for masterplans to be approved for Local Authority sites prior to development of further pitches. The updated appraisal of the policy is set out in **Appendix B** and summarised in **Section 3.3**. No further changes to the SA Publication Draft SA Report (2018) have been identified. The updated appraisal of the additional MMs has led to no changes to the assessment of cumulative, synergistic and indirect effects.

NEXT STEPS

This Addendum to the SA Report is a supporting document to the City of York Local Plan – Consultation on Proposed Modifications to Policy H5 ‘Gypsies and Travellers’. The Council is undertaking a 6-week consultation on the additional MMs. Comments are invited on the findings and recommendations of this report. **The consultation runs from 19th July to midnight 30th August 2024.**

Please note: The consultation is only related to the content of the additional Main Modifications (soundness) and how they have been prepared (legal compliance). Other parts of the plan will not be considered.

If you wish to make comments, you must do so in writing. Comments can be submitted to the Council using one of the following methods:

- online response form via the Council’s website using their online survey (located here: <https://www.york.gov.uk/consultations>); or
- by requesting a response form from the Strategic Planning Team at the following e-mail address localplan@york.gov.uk or by telephone (01904) 552255, completing it and returning the completed response form to localplan@york.gov.uk with the subject line ‘**Draft Local Plan MM Consultation**’;
- submitting the response forms and written representation by post to the following postal address - **FREEPOST RTEG-TYYU-KLTZ, Strategic Planning Policy, West Offices, Station Rise, York, YO1 6GA.**

Please quote the relevant MM reference to which your response relates.



Following the close of the consultation, all duly made comments will be passed to the Inspectors and will be considered prior to the publication of the Inspectors' final report on the examination of the City of York Local Plan. The final report will include recommendations regarding any changes that are considered necessary to make the Local Plan sound.

Following adoption of the Local Plan, a Post Adoption Statement will be completed, consistent with the requirements of SEA regulation 16(4).

1 INTRODUCTION

1.1 OVERVIEW

- 1.1.1. The City of York Council (the Council) is currently preparing a new Local Plan for the City of York (the draft Local Plan). The Local Plan will set out the vision, objectives, planning policies and site allocations that will guide development in the District to 2033 (defining Green Belt boundaries which will endure beyond the plan period). The Council published the Local Plan Publication Draft (Regulation 19 Consultation) to allow representations to be made on its content between 21st February and 4th April 2018. The Council submitted the draft Local Plan for examination on 25th May 2018.
- 1.1.2. The Council, with support from WSP UK Ltd⁵ (WSP), undertook a Sustainability Appraisal (SA) of the Local Plan Publication Draft and published a SA Report alongside the draft Local Plan in February 2018⁶ (from here on referenced as the Publication Draft SA Report (Feb 2018)). The SA appraised the environmental, social and economic performance of the Local Plan Publication Draft against a set of sustainability objectives in order to identify the likely significant social, economic and environmental effects. Where appropriate, the SA highlighted areas where measures to avoid, minimise or mitigate any potential negative effects could be required. Similarly, and where appropriate, opportunities to enhance the contribution that the Local Plan Publication Draft could make to sustainability were also identified.
- 1.1.3. Prior to the submission of the draft Local Plan for examination, the Council updated their evidence on Habitats Regulations Assessment (HRA) (April 2018)⁷ and identified a number of changes to the draft Local Plan.⁸ WSP and the Council prepared a SA Report Addendum (April 2018)⁹ to appraise the changes related to the HRA (April 2018). The Council then consulted on a number of proposed modifications prior to hearing sessions taking place as part of the examination. These were consulted on in the City of York Local Plan Publication Draft – Proposed Modifications Consultation (June 2019)¹⁰ which was accompanied by a SA Report Addendum (June 2019).¹¹ These changes related to revised evidence on housing need, HRA and Green Belt.

⁵ Formally Amec Foster Wheeler Environment and Infrastructure UK Ltd, Wood Environment and Infrastructure UK Ltd and Wood Group UK Ltd. Wood E&I UK Ltd was acquired by WSP in September 2022. SA support has been provided to the Council under previous company names.

⁶ Amec Foster Wheeler and City of York Council (February 2018) City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report [CD008, CD009A-D and CD010]

⁷ Waterman Infrastructure & Environment Limited for City of York council (April 2018) HRA of Plan Allocations - Habitats Regulations Assessment of the City of York Council Local Plan [CD012]

⁸ See the City of York Council (2019) City of York Local Plan Publication Draft Schedule of Minor Modifications to 25th May 2018 (CD003) for the list of proposed changes submitted with the Publication Draft Local Plan

⁹ Wood and City of York Council (April 2018) City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum [CD011]

¹⁰ See details of consultation via: <https://www.york.gov.uk/LocalPlanModificationsConsultation>

¹¹ Wood and City of York Council (June 2019) City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum [EX/CYC/24a-c]

- 1.1.4. As a result of the first set of examination hearings (known as Phase 1 of the hearings) held in December 2019 and further development of HRA and Green Belt evidence, the Council undertook consultation on a further series of proposed modifications in May 2021. These were consulted on in the City of York Local Plan Composite Modifications Schedule¹² and accompanied by a SA Report Addendum (May 2021).¹³
- 1.1.5. After the second set of EiP hearing sessions closed in September 2022 (Phase 2 to Phase 4 of the hearings) a set of post hearing modifications to the draft Local Plan were consulted on February and March 2023 accompanied by a SA Report Addendum (January 2023).¹⁴ The modifications comprised: Main Modifications (MMs); Policies Map Modifications (PMMs); and Additional Modifications (AMs).¹⁵ Many of these proposed modifications amended or superseded the changes appraised in previous SA Report Addenda. Others were newly identified following consideration at the hearing sessions.
- 1.1.6. Following further consideration by the Inspectors, a further examination hearing session was held in March 2024 (Phase 5) to specifically consider Policy H5: Gypsies and Travellers. As advised by the Inspectors, a series of MMs are necessary to make Policy H5, and the Plan as a whole, sound. Specifically, the modifications:
- ensure the policy is justified by reflecting up to date evidence in the revised Gypsy and Traveller Accommodation Assessment (GTAA) and bring it into line with the revised definition in the Planning Policy for Traveller Sites (PPTS);
 - embed an approach to master planning the expansion of the two existing sites as a prerequisite to the sustainable delivery of new pitches; and
 - clarify the policy approach to delivery of new pitches on Strategic Sites and the planning application requirements.
- 1.1.7. This report ensures that all the likely significant effects of the draft Local Plan (as proposed to be modified) have been identified, described and evaluated.
- 1.1.8. The SA is iterative. Where the MMs have previously been assessed as part of the previous SA addenda, and are unchanged, the assessment has not been repeated. However, the Report includes the outcomes of these previous appraisals. Where further changes to those previously assessed are identified these new changes have been reviewed.

¹² City of York Council (2021) City of York Local Plan Composite Modifications Schedule and Key Evidence consultation [EX/CYC/58]

¹³ Wood and City of York Council (May 2021) City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum – Proposed Modification Consultation (May 2021) [EX/CYC/62]

¹⁴ WSP E&IS and City of York Council (January 2023) City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum – Proposed Modifications Consultation [EX/CYC/128b]

¹⁵ See details of consultation via: <https://www.york.gov.uk/planning-policy/local-plan-proposed-main-modifications-consultation-february-2023>

1.2 THE CITY OF YORK LOCAL PLAN

- 1.2.1. The Local Plan Publication Draft sets out the Council's vision for York to 2033 (with Green Belt boundaries set until 2038) and provides the spatial planning response to the challenge of planning for future growth. It was developed taking into account national planning policy and guidance, the objectives of other plans and programmes, assessment (including SA), the findings of evidence base and technical studies, and the outcomes of engagement.
- 1.2.2. A full overview of the development of the Local Plan and the SA undertaken at each stage up to publication is set out in Section 2 of the Publication Draft SA Report (Feb 2018). Following submission, as part of the examination process, the Council has continued to refine its evidence base and has set out a series of proposed modifications which take into account the emerging evidence and representations received.

PROPOSED MODIFICATIONS

- 1.2.3. Under Section 20(7) of the Planning and Compulsory Purchase Act (2004), as revised by Section 112 of the Localism Act (2011), modifications are either classified as "main" or "additional" modifications. The additional modifications being consulted on as 'Main' modifications (MMs) are required to resolve issues in order to make the Local Plan sound or to ensure its legal compliance. They involve changes or insertions to policies and text that are essential to enable the plan to be adopted. MMs are therefore changes that have an impact on the implementation of a policy.
- 1.2.4. The proposed modifications are set out in **Appendix A**.

1.3 SUSTAINABILITY APPRAISAL

THE REQUIREMENTS FOR SUSTAINABILITY APPRAISAL

- 1.3.1. Under Section 19(5) of the Planning and Compulsory Purchase Act 2004, the Council is required to carry out a SA of the Local Plan to help guide the selection and development of policies and proposals in terms of their potential social, environmental and economic effects. In undertaking this requirement, local planning authorities must also incorporate the requirements of the Environmental Assessment of Plans and Programmes Regulations 2004 (statutory instrument 2004 No. 1633) (the Strategic Environmental Assessment (SEA) regulations).
- 1.3.2. The SEA regulations seek to provide a high level of protection of the environment by integrating environmental considerations into the process of preparing certain plans and programmes.
- 1.3.3. As the Draft Local Plan was submitted prior to 2019 the policies of the National Planning Policy Framework (NPPF) (2012) apply.¹⁶ At paragraphs 150 and 151, the NPPF (2012)¹⁷ sets out that

¹⁶ Ministry of Housing, Communities and Local Government (2012) *National Planning Policy Framework*. Available from <https://webarchive.nationalarchives.gov.uk/20180608095821/https://www.gov.uk/government/publications/national-planning-policy-framework--2> [Accessed July 2024].

¹⁷ Paragraph 16 of the NPPF (2023) sets out that local plans must be prepared with the objective of contributing to the achievement of sustainable development. Ministry of Housing, Communities and Local Government (2023) *National Planning Policy Framework*. Available from https://assets.publishing.service.gov.uk/media/65a11af7e8f5ec00f1f8c46/NPPF_December_2023.pdf [Accessed July 2024].

Local Plans are key to delivering sustainable development and must be prepared with the objective of contributing to the achievement of sustainable development¹⁸. In this context, paragraph 165 of the NPPF (2012)¹⁹ reiterates the requirement for SA/SEA as it relates to local plan preparation:

“A sustainability appraisal which meets the requirements on strategic environmental assessment should be an integral part of the plan preparation process, and should consider all the likely significant effects on the environment, economic and social factors.”

- 1.3.4. The PPG (Plan-making paragraph 037²⁰) also makes clear that SA plays an important role in demonstrating that a local plan reflects sustainability objectives and has considered reasonable alternatives. In this regard, SA will help to ensure that a local plan is “justified”, a key test of soundness that concerns the extent to which the plan provides the “most appropriate strategy” (NPPF 2012) or “an appropriate strategy” (NPPF 2023)²¹, taking into account the reasonable alternatives, and based on proportionate evidence.
- 1.3.5. In this context, SA has been an integral part of the preparation of the Local Plan for York. SA of the Local Plan helps to ensure that the likely social, economic and environmental effects of the Plan are identified, described and appraised. Where negative effects are identified, measures will be proposed to avoid, minimise or mitigate such effects. Where any positive effects are identified, measures will be considered that could enhance such effects.

SUSTAINABILITY APPRAISAL OF THE DRAFT CITY OF YORK LOCAL PLAN

- 1.3.6. SA has been an integral part of the preparation of the draft Local Plan with each stage of the Plan’s development having been accompanied by a SA, as follows:
- Local Plan Preferred Options (2013);
 - Further Sites Consultation (2014);
 - Local Plan Publication Draft (2014)²²;
 - Preferred Sites Consultation (2016);
 - Local Plan Pre-Publication Draft (Regulation 18 Consultation) (2017); and
 - Local Plan Publication Draft (Regulation 19 Consultation) (2018) and submission of the Plan
- 1.3.7. The SA Report accompanying the Local Plan Publication Draft was prepared to meet the reporting requirements of the SEA Regulation and assessed:
- the City’s vision, plan outcomes and key development principles;
 - the preferred development option (including an individual appraisal of strategic and general site allocations) and reasonable alternatives;
 - proposed policies; and

¹⁸ This is a legal requirement of local planning authorities exercising their plan-making functions (section 39(2) of the Planning and Compulsory Purchase Act, 2004).

¹⁹ The requirement for SA/SEA for local plan preparation is set out at paragraph 32 of the NPPF (2021).

²⁰ Planning Practice Guidance, Reference ID 61-037-20190315 (Revision date: 15/03/2019)

²¹ As noted above the NPPF (2012) applies under transitional arrangements. Reference is made to the NPPF (2023) for context only.

²² The publication draft was not published for consultation following a motion carried at full Council to halt proceeding to consultation on its contents in favour of further evidence base work.

- the cumulative, synergistic and secondary effects of the draft Local Plan, both alone and in combination with other plans and programmes.

1.3.8. A full overview of the outcomes of the SA undertaken at each stage of the Local Plan preparation is set out in Section 2 of the Publication Draft SA Report (Feb 2018). Prior to submission, the Council proposed minor changes to the draft Local Plan. These were appraised in the SA Report Addendum (April 2018). Following submission of the Publication Draft Local Plan, the Council has undertaken three consultations on potential modifications, which have been supported by SA, with the current consultation being the fourth. These consultations are summarised below:

- Prior to examination hearing sessions starting in 2019, consultation was undertaken following updated evidence in relation to HRA and housing need. This was reflected in the SA Report Addendum (June 2019);
- Following the first set of hearing sessions, linked to updated Green Belt and HRA evidence. This was reflected in the SA Report Addendum (May 2021);
- Consultation on the full range of MMs, PMMs and AMs identified through the examination. This was reflected in the SA Report Addendum (January 2023); and
- This current consultation on MMs related to Gypsy and Travellers policy. This SA Report Addendum considers the implications of all these changes.

1.4 PURPOSE OF THIS REPORT

1.4.1. This document is the July 2024 addendum to the City of York Local Plan: Publication Draft - Sustainability Appraisal Report (Feb 2018). The purpose of this addendum is to assess the likely significant effects of the proposed modifications to the draft Local Plan to update the previous SA as appropriate and to ensure that all the likely significant effects of the Local Plan (as proposed) have been identified, described and evaluated. The report supports the City of York Local Plan – Consultation On Proposed Modifications To Policy H5 ‘Gypsies and Travellers’.

This report should be read in conjunction with the following documents which can be accessed through the Council’s examination website (<https://www.york.gov.uk/LocalPlanExamination>):

- City of York Local Plan: Publication Draft - Sustainability Appraisal Report (February 2018) [CD008, CD009A-D and CD010];
- City of York Local Plan: Publication Draft – Sustainability Appraisal Report Addendum (April 2018) [CD011];
- City of York Local Plan: Publication Draft - Sustainability Appraisal Report Addendum (June 2019) [EX/CYC/24a-c];
- City of York Local Plan: Publication Draft - Sustainability Appraisal Report Addendum (May 2021) [EX/CYC/62]; and
- City of York Local Plan Publication Draft (Regulation 19 Consultation) Sustainability Appraisal Report Addendum – Proposed Modifications Consultation (January 2023) [EX/CYC/128b].

The purpose of this report is to ensure that where relevant, the SA Report (Feb 2018) and the SA Report Addenda (April 2018, June 2019, May 2021 and January 2023) have been updated to reflect the proposed modifications within the context of the requirements for SA (including the SEA regulations).

1.5 STRUCTURE OF THIS SA REPORT ADDENDUM

1.5.1. This SA Report is structured as follows:

- Section 1: Introduction – Includes a summary of the Local Plan, an overview of the SA process to-date and outlines the purpose of this report and its contents;
- Section 2: SA Approach - Describes the approach to identifying the proposed modifications that are significant for the purposes of the SA and sets out the methodology for their appraisal;
- Section 3: Appraisal of the Proposed Modifications - Identifies the modifications that are significant and summarises the findings of their appraisal, including the implications for, and subsequent amendments to, the 2018 Publication Draft SA Report (**Appendix A** presents the results of the screening exercise whilst an updated appraisal matrix is contained at **Appendix B**);
- Section 4: Conclusion, monitoring and next steps: Presents the conclusions of the SA of the proposed modifications, implications for monitoring, and details the next steps for the SA of the Local Plan.

2 SA APPROACH

2.1 INTRODUCTION

- 2.1.1. This section describes the approach to the SA, including how any proposed changes to policies/sites have then been appraised. It also sets out the SA objectives against which those proposed modifications that are considered to be significant have been appraised. The SA methodology is the same as that applied to each earlier iteration of the draft Local Plan.

2.2 DETERMINING THE SIGNIFICANCE FOR THE SA OF THE MODIFICATIONS

- 2.2.1. This section sets out the approach to determining the significance of the proposed changes. National Planning Practice Guidance (Strategic Environmental Assessment and Sustainability Appraisal Paragraph 021 Reference ID: 11-021-20140306)²³ states that:

“The sustainability appraisal report will not necessarily have to be amended if the plan is modified following responses to consultations. Modifications to the sustainability appraisal should be considered only where appropriate and proportionate to the level of change being made to the plan. A change is likely to be significant if it substantially alters the plan and/ or is likely to give rise to significant effects.

Further assessment may be required if the changes have not previously been assessed and are likely to give rise to significant effects. A further round of consultation on the sustainability appraisal may also be required in such circumstances but this should only be undertaken where necessary. Changes to the plan that are not significant will not require further sustainability appraisal work.”

- 2.2.2. There is no detailed guidance on how to determine significance in this context. The following paragraphs set out the key principles underpinning the screening of changes in the context of the proposed modifications to the draft Local Plan.

KEY PRINCIPLES

- 2.2.3. The screening of the proposed modifications to the Local Plan is set out in **Appendix A**. The summary of those proposed modifications that are considered significant for SA is set out in **Section 3.2**.
- 2.2.4. Some of the MMs are proposed to make the wording and/or intent of policy clearer and/or to ensure consistency with national planning policy and other Local Plan policies. This can be through either clarification to policy wording or the provision of additional information that expands upon the existing text. These modifications are not considered to be significant for the purposes of the SA unless they introduce a new criterion that has not been previously appraised or have implications for the appraisal commentary. Where modifications involve the deletion of text from a policy, the revised wording has been considered to see if it has any implications for the SA, both in terms of the

²³ Planning Practice Guidance, Reference ID 11-021-20140306 (Revision date: 06/03/2014)

conclusions of the 2018 Publication Draft SA Report or the commentary accompanying relevant parts of the assessment, with significance determined on a case-by-case basis.

- 2.2.5. Where a proposed modification to a policy introduces or removes criteria, a judgement has been made as to whether or not the MM would affect the previous appraisal and/or should be acknowledged in the appraisal. In such instances, significance has been determined on a case-by-case basis and a comment made on whether or not the previous appraisal has been amended and which SA objectives are affected.
- 2.2.6. Where MMs involve the deletion of text from a policy, the revised wording has been considered to see if it has any implications for the SA, both in terms of the conclusions of the SA or the commentary accompanying relevant part of the assessment.
- 2.2.7. Proposed modifications to supporting text clarify how policies will be implemented and/or provide justification for them. Such modifications have not been considered to be significant, except where they give effect to changes identified for the number of Gypsy and Traveller pitches required.
- 2.2.8. Changes to the number of pitches to be provided over the plan period are considered to be significant for the purposes of the SA.
- 2.2.9. Where the revision to the matrix requires the removal of text, this is indicated using strikethrough, where new text has been added this is underlined. Where the matrices include changes to text updated by earlier SA Report Addenda consulted on post hearings (May 2021 and January 2023) they are also highlighted in bold. The latest amendments identified in this report are also represented by underline and strikethrough in bold but the text is also highlighted in blue. The outcome of these appraisals is summarised in **Section 3**. See Appendix B for the appraisal.

THE SA FRAMEWORK

- 2.2.10. The SA Framework comprises sustainability objectives and guide questions to inform the appraisal of effects of the plan's policies and proposals. Establishing appropriate SA objectives and guide questions is central to appraising the sustainability effects of the Local Plan. Broadly, the SA objectives define the long-term aspirations for the City with regard to social, economic and environmental considerations and it is against these objectives that the performance of the emerging Local Plan has been appraised.
- 2.2.11. **Table 2-1** presents the SA Framework including SA objectives and associated guide questions. The SA objectives and guide questions reflect the analysis of the key objectives and policies arising from the review of plans and programmes, the key sustainability issues identified through the analysis of York's socio-economic and environmental baseline conditions and comments received during consultation on the Scoping Report. The SEA regulations topic(s) to which each of the SA objectives relates is included in the third column.

The SA objectives used for this appraisal are consistent with those developed to appraise the draft Local Plan prior to submission and were consulted on in the 2013 Scoping Report. The appraisal objectives reflect an analysis of baseline conditions, review of plans and programmes and the subsequent identification of key sustainability issues which are contained in the Publication Draft SA Report (Feb 2018).

Table 2-1 – SA Framework

SA Objective	Guide questions. Will the policy/proposal ...	SEA Regulations Topic
To meet the diverse housing needs of the population in a sustainable way.	<p>Deliver homes to meet the needs of the population in terms of quantity, quality</p> <p>Promote improvements to the existing and future housing stock</p> <p>Locate sites in areas of known housing need</p> <p>Deliver community facilities for the needs of the population</p> <p>Deliver pitches required for Gypsies and Travellers and Showpeople</p>	Population
Improve the health and wellbeing of York's population	<p>Avoid locating development where environmental circumstances could negatively impact on people's health</p> <p>Improve access to open space / multi-functional open space</p> <p>Promotes a healthier lifestyle through access to leisure opportunities (walking /cycling)</p> <p>Improves access to healthcare</p> <p>Provides or promotes safety and security for residents</p> <p>Ensure that land contamination/pollution does not pose unacceptable risks to health</p>	Population, Human Health
Improve education, skills development and training for an effective workforce	<p>Provide good education and training opportunities for all</p> <p>Support existing higher and further educational establishments for continued success</p> <p>Provide good quality employment opportunities available to all</p>	Population
Create jobs and deliver growth of a sustainable, low carbon and inclusive economy	<p>Help deliver conditions for business success and investment</p> <p>Deliver a flexible and relevant workforce for the future</p> <p>Deliver and promote stable economic growth</p> <p>Enhance the city centre and its opportunities for business and leisure</p> <p>Provide the appropriate infrastructure for economic growth</p> <p>Support existing employment drivers</p> <p>Promote a low carbon economy</p>	Population
Help deliver equality and access to all	<p>Address existing imbalances of equality, deprivation and exclusion across the city</p> <p>Provide accessible services and facilities for the local population</p>	Population, Human Health

SA Objective	Guide questions. Will the policy/proposal ...	SEA Regulations Topic
	<p>Provide affordable housing to meet demand</p> <p>Help reduce homelessness</p> <p>Promote the safety and security for people and/or property</p>	
<p>Reduce the need to travel and deliver a sustainable integrated transport network</p>	<p>Deliver development where it is accessible by public transport, walking and cycling to minimise the use of the car</p> <p>Deliver transport infrastructure which supports sustainable travel options</p> <p>Promote sustainable forms of travel</p> <p>Improve congestion</p>	<p>Air, Climatic Factors</p>
<p>To minimise greenhouse gases that cause climate change and deliver a managed response to its effects</p>	<p>Reduce or mitigate greenhouse gas emissions from all sources</p> <p>Plan or implement adaptation measures for the likely effects of climate change</p> <p>Provide and develop energy from renewable, low and zero carbon technologies</p> <p>Promote sustainable design and building materials that manage the future risks and consequences of climate change</p> <p>Adhere to the principles of the energy hierarchy</p>	<p>Climatic Factors</p>
<p>Conserve or enhance green infrastructure, biodiversity, geodiversity, flora and fauna for accessible high quality and connected natural environment</p>	<p>Protect and enhance international and nationally significant priority species and habitats within SACs, SPAs, RAMSARs and SSSIs</p> <p>Protect and enhance locally important nature conservation sites (SINCS)</p> <p>Create new areas or site of bio-diversity / geodiversity value</p> <p>Improve connectivity of green infrastructure and the natural environment</p> <p>Provide opportunities for people to access the natural environment</p>	<p>Biodiversity, Flora & Fauna, Human Health</p>
<p>Use land resources efficiently and safeguard their quality</p>	<p>Re-use previously developed land</p> <p>Prevent pollution contaminating the land and remediate any existing contamination</p> <p>Safeguard soil quality, including the best and most versatile agricultural land</p> <p>Protect or enhance allotments</p> <p>Safeguard mineral resources and encourage their efficient use</p>	<p>Soil, Material Assets</p>

SA Objective	Guide questions. Will the policy/proposal ...	SEA Regulations Topic
Improve water efficiency and quality	Conserve water resources and quality; Improve the quality of rivers and groundwaters	Water
Reduce waste generation and increase level of reuse and recycling	Promote reduction, re-use, recovery and recycling of waste Promote and increase resource efficiency	Material Assets
Improve air quality	Reduce all emissions to air from current activities Minimise and mitigate emissions to air from new development (including reducing transport emissions through low emission technologies and fuels) Support the development of city wide low emission infrastructure; Improve air quality in AQMAs and prevent new designations; Avoid locating development where it could negatively impact on air quality Avoid locating development in areas of existing poor air quality where it could result in negative impacts on the health of future occupants/users Promote sustainable and integrated transport network to minimise the use of the car	Air, Human Health
Minimise flood risk and reduce the impact of flooding to people and property in York	Reduce risk of flooding Ensure development location and design does not negatively impact on flood risk Deliver or incorporate through design sustainable urban drainage systems (SUDs)	Climatic Factors, Water
Conserve or enhance York's historic environment, cultural heritage, character and setting	Preserve or enhance the special character and setting of the historic city Promote or enhance local culture Preserve or enhance designated and non-designated heritage assets and their setting Preserve or enhance those elements which contribute to the 6 Principal Characteristics of the City as identified in the Heritage Topic Paper	Cultural Heritage, Landscape
Protect and enhance York's natural and built landscape	Preserve or enhance the landscape including areas of landscape value Protect or enhance geologically important sites; Promote high quality design in context with its urban and rural landscape and in line with the "landscape and Setting" within the Heritage Topic Paper	Cultural Heritage, Landscape

APPRAISAL OF POLICIES

- 2.2.12. Where policies have been re-appraised, the following scoring system has been used to appraise the effects against the SA objectives. The scoring system (**Table 2-2**) was established in the SA Scoping Report (2013) and has been used to appraise the policies and proposals in the Local Plan as they have developed.

Table 2-2 - Scoring system used in the SA of proposed policies and sites

Symbol	Likely Effects on the SA Objective
++	The policy is likely to have a significant positive effect on the SA objective.
+	The policy is likely to have a positive effect on the SA objective.
0	No significant effect / no clear link between the policy and the SA objective.
I	Depends upon Policy Implementation (applied to GIS Assessments)
?	Uncertain or insufficient information on which to determine effect on the SA objective.
-	The policy is likely to have a negative effect on the SA objective.
--	The policy is likely to have a significant negative effect on the SA objective.

- 2.2.13. The policy re-appraisal within Appendix B utilises the same matrices and original text as the Publication Draft SA Report (2018). Where changes to the SA scoring or appraisal commentary have been identified these are presented in underline for additional text or with strikethrough for deleted text. Where the text is not underlined or struck through it is the original appraisal text taken from the relevant Publication Draft SA Report (Feb 2018) appendix and has not been changed. Any new amendments to previously presented updated appraisals are in bold and highlighted in blue.

2.3 WHEN THE SA WAS UNDERTAKEN AND BY WHOM

- 2.3.1. Work to complete this addendum to the Publication Draft SA Report (Feb 2018) was undertaken by WSP in July 2024.

2.4 TECHNICAL DIFFICULTIES

- 2.4.1. The SEA Regulations require the identification of any difficulties (such as technical deficiencies or lack of knowledge) encountered during the appraisal process. No technical difficulties were encountered during the preparation of this Report. The uncertainties and assumptions set out in Section 5.9 of the Publication Draft SA Report (Feb 2018) remain relevant.

3 APPRAISAL OF THE PROPOSED MODIFICATIONS

3.1 INTRODUCTION

- 3.1.1. This section reflects on the outcome of the screening of the proposed changes to Policy H5 of the Local Plan (set out in **Appendix A**), and the appraisal of the thematic policies (**Appendices B**). The MMs in **Appendix A** supersede those covering Policy H5 in the SA Report Addendum (January 2023) Appendix A (referenced as MM5.11, MM5.12, MM5.15 and MM5.16).
- 3.1.2. Section 5 of the Publication Draft SA Report (2018) identifies, describes and appraises the likely significant effects of each of the key stages of the Local Plan development. It documents the process of the selection and refinement of the preferred development option leading up to the submission of the draft plan. This is not repeated here.
- 3.1.3. This section summarises the findings of the review of the additional MMs to identify those changes that have been screened in for appraisal. This section then summarises the sustainability implications of the ‘screened in’ MMs to provide updates to the SA. These are summarised in **Section 3.3** and set out in **Appendix B**. In **Appendix B**, where appropriate, new text is underlined, and deleted text is indicated by strikethrough. Where the matrix includes changes to the text updated by the SA Report Addenda following the hearing sessions (May 2021 and January 2023) the changes made are also highlighted in bold. The latest amendments are also represented by underline and strikethrough in bold but the text is also highlighted in blue. Section 6 of the Publication Draft SA Report (Feb 2018) includes commentary on the total effects of the plan policies. No changes have been identified to the assessment but commentary is included in **Section 3.4** for completeness.

3.2 IDENTIFICATION OF POTENTIALLY SIGNIFICANT PROPOSED MODIFICATIONS

- 3.2.1. **Table 3-1** below identifies the potentially significant Main Modifications (MMs) to Policy H5: Gypsies and Travellers of the Publication Draft Local Plan. The results of the review of are set out in **Appendix A**.

Table 3-1 - Summary of Main Modifications (MMs) to Policy H5 of the Publication Draft Local Plan that are considered significant for the purposes of SA

Main Modification (MM) Reference	Summary of proposed modification	Why this Main Modification (MM) is considered significant for the SA
5.23	The additional MM changes to the number of pitches to be provided within strategic allocations, reflecting the latest data on households since the publication of the 2022 Gypsy and Traveller Accommodation Assessment (GTAA). The MM also includes additional policy wording related to the approval of masterplans prior to the development of further pitches at existing Local Authority sites.	The MM includes changes to the number of Gypsy and Traveller pitches required in the Local Plan. The SA Report Addendum (May 2021) updated the SA assessment following changes identified at that stage and the SA Report Addendum (January 2023) updated the assessment linked to the requirements laid out in response to the 2022 GTAA. This should be reviewed. The additional criteria related to the provision of

Main Modification (MM) Reference	Summary of proposed modification	Why this Main Modification (MM) is considered significant for the SA
		masterplans should be reviewed for implications.
5.25	The additional MM changes the explanatory text related to the updated number of pitches and the requirement for masterplans for Local Authority sites in line with MM5.23.	The MM in the explanatory text reflects the change in Gypsy and Traveller pitches outline in the policy therefore is assessed as being significant for the purposes of SA.

3.2.2. The subsequent section presents updates, as necessary, to the Publication Draft SA Report (Feb 2018) and SA Report Addendum (April 2018) which supported the submission of the Local Plan for examination in 2018, the two SA Report Addenda (June 2019 and May 2021) which were prepared to take into account changes following submission of the Local Plan, and the SA Report Addendum (January 2023) which accompanied the consultation on the proposed MMs, AMs and PMMs following the close of Phase 1 to Phase 4 hearings. The following sections reference these documents as appropriate reflecting the iterative approach to the SA.

3.3 THEMATIC POLICIES

3.3.1. The MMs for thematic policies have been reviewed (see **Appendix A**) and those that are considered significant are set out in **Table 3-1**. An updated appraisal is contained in **Appendix B**. The following section set out consideration of the proposed changes to the Housing section of the Publication Draft SA Report (2018).

HOUSING

3.3.2. Changes are proposed to the requirements for Gypsy and Traveller pitches in Policy H5: Gypsy and Travellers which updates previous changes reviewed in the SA Report Addendum (May 2021) and SA Report Addendum (January 2023). Additionally, Policy H5 sets out additional requirement for masterplans to be approved for the development of the Local Authority sites prior to development. The appraisal scoring has been reviewed. No further changes to the scoring are identified. However, the commentary in **Appendix B** has been revised for a number of SA objectives.

3.3.3. To reflect the updated appraisal, **paragraph 6.6.16 of the Publication Draft SA Report (2018)** (and 3.7.11 of the SA Report Addendum (January 2023)) is amended as follows: "...Policies H5 (Gypsy and Travellers) and Policy H6 (Travelling Showpeople) would help to address a shortfall of accommodation for these groups with Gypsy and Traveller Accommodation Assessment (2022) baseline information, as updated with the latest household evidence, demonstrating a need for 3047 identifying a requirement for 38 Gypsy and traveller pitches and the need for four 43 plots for Showpeople over the plan period...".

3.3.4. No further changes to the SA Report are identified.

3.4 CUMULATIVE EFFECTS

3.4.1. The assessment of the additional MMs has led to no changes to the assessment of cumulative, synergistic and indirect effects. Therefore, there are no changes proposed to the updated



cumulative assessment table that took into account all proposed modifications contained in Section 3.8 of SA Report Addendum (January 2023).

4 CONCLUSION AND NEXT STEPS

4.1 CONCLUSION

- 4.1.1. No changes to the SA scoring of Policy H5 have been identified, although a number of changes to appraisal commentary in the Publication Draft SA Report (2018) text have been identified related to the number of Gypsy and Traveller pitches to be provided and the new requirements for masterplans to be approved for Local Authority sites prior to development of further pitches. No further changes to the SA Publication Draft SA Report (2018) have been identified. The updated appraisal of the additional MMs has led to no changes to the assessment of cumulative, synergistic and indirect effects.

4.2 MONITORING

- 4.2.1. It is a requirement of the SEA Regulations to establish how the significant sustainability effects of implementing the Local Plan will be monitored. Indicative proposed monitoring indicators were identified in Appendix L of the Publication Draft SA Report (2018). The AMs consulted on in 2023 included the addition of a new monitoring indicator regarding designated conservation sites which was reflected in the updated indicative monitoring framework (Appendix J of SA Report Addendum (2023)). No further changes to the indicators have been identified at this stage. The monitoring framework will be confirmed in the Post Adoption Statement following the close of the examination.

4.3 NEXT STEPS

- 4.3.1. This Addendum to the SA Report is a supporting document to the City of York Local Plan – Consultation on all Proposed Modifications to Policy H5 ‘Gypsies and Travellers’. The Council is undertaking a 6-week consultation on the additional MMs. Comments are invited on the findings and recommendations of this report. **The consultation runs from 19th July to midnight 30th August 2024.**

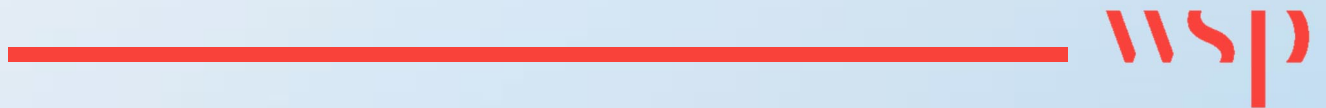
Please note: The consultation is only related to the content of the additional Main Modifications (soundness) and how they have been prepared (legal compliance). Other parts of the plan will not be considered.

- 4.3.2. If you wish to make comments, you must do so in writing. Comments can be submitted to the Council using one of the following methods:
- online response form via the Council’s website using their online survey (located here: <https://www.york.gov.uk/consultations>); or
 - by requesting a response form from the Strategic Planning Team at the following e-mail address localplan@york.gov.uk or by telephone (01904) 552255, completing it and returning the completed response form to localplan@york.gov.uk with the subject line ‘**Draft Local Plan MM Consultation**’;
 - submitting the response forms and written representation by post to the following postal address - **FREEPOST RTEG-TYYU-KLTZ, Strategic Planning Policy, West Offices, Station Rise, York, YO1 6GA.**
- 4.3.3. Please quote the relevant MM reference to which your response relates.

- 4.3.4. Following the close of the consultation, all duly made comments will be passed to the Inspectors and will be considered prior to the publication of the Inspectors' final report on the examination of the City of York Local Plan. The final report will include recommendations regarding any changes that are considered necessary to make the Local Plan sound.
- 4.3.5. Following adoption of the Local Plan, a Post Adoption Statement will be completed, consistent with the requirements of SEA regulation 16(4).

Appendix A

SCREENING OF MAIN
MODIFICATIONS



The following schedule sets out the screening of the proposed additional Main Modifications (MMs) to Policy H5 for Sustainability Appraisal (SA) implications. The MMs in this schedule supersede those covering Policy H5 in the SA Report Addendum (January 2023) Appendix A (referenced as MM5.11, MM5.12, MM5.15 and MM5.16).

The policy wording and explanatory text are shown in full, with deletions indicated by ~~striketrough~~ and new text underlined. The modifications are also colour coded to make clear where the text originates and at what point in the examination process²⁴ the text was proposed to be modified:

- Black text / no highlight – Wording as submitted in Local Plan Publication Draft, May 2018
- Yellow highlighted text – Main Modifications proposed and consulted on, January 2023
- Green highlighted text – Additional main modifications, recommended February 2024 (New)
- Blue highlighted text – Additional main modifications, recommended May 2024 (New)

²⁴ See <https://www.york.gov.uk/LocalPlanExamination> for further detail of the examination.

Modification Ref	Policy H5: Gypsies and Travellers	Is the proposed modification considered significant for the purposes of SA?
<p>MM5.23</p>	<p>Safeguarding Existing Supply</p> <p>Proposals which fail to protect existing Gypsy and Traveller sites or involve a loss of pitches/plots will not be permitted unless it can be demonstrated that they are no longer required or equivalent alternative provision can be made. Existing Gypsy and Traveller sites are shown on the proposals Policies Map, and are listed below:</p> <ul style="list-style-type: none"> - James Street, Layerthorpe - Water Lane, Clifton; and, - Outgang Lane, Osbaldwick <p>Meeting Future Need</p> <p>In order to meet the accommodation needs of Gypsies and Travellers, provision will be made in the following ways:</p> <p>a) Within Existing Local Authority Sites</p> <p>In order to meet the need of Gypsies and Travellers that meet the planning definition, 10 3 additional pitches will be provided-identified within the existing three Local Authority sites at:</p> <ul style="list-style-type: none"> - Water Lane, Clifton; and - Outgang Lane, Osbaldwick <p>The development of further pitches at Water Lane, Clifton is subject to the production and approval of a Masterplan that demonstrates how the principles set out in part C (below) are to be addressed on the site. The provision of all new pitches on the site must be in accordance with the approved Masterplan. No further accommodation is to be occupied at the site until such a Masterplan has been submitted and approved and the measures it identifies have been carried out.</p>	<p>Yes, MM5.23 includes changes to the number of Gypsy and Traveller pitches to be provided in the Local Plan in response to the 2022 City of York Gypsy and Travellers Accommodation Assessment (GTAA).</p> <p>The changes proposed to the provision in the Local Authority sites were assessed in the SA Report Addendum (January 2023). No further changes are proposed in relation to this provision. However, the additional MM also includes a change to the number of pitches to be provided within strategic allocations assessed in the SA Report Addendum (January 2023). This figure reflects updated evidence on households since the publication of the 2022 GTAA. This should be reviewed for implications for the SA.</p> <p>The MM also includes additional policy wording related to the approval of masterplans prior to the development of further pitches at existing Local Authority sites. These new criteria introduce additional requirements which may have implications for SA objectives related to health and wellbeing (SA Objective 2) and transport (SA Objective 6) and should be reviewed.</p>

The development of further pitches at Outgang Lane, Osbaldwick is subject to the production and approval of a Masterplan that demonstrates how the principles set out in part C (below) are to be addressed on the site. The Masterplan must also:

- Identify measures to improve the access arrangements to the site to ensure it is brought up to an acceptable standard, with the carriageway properly surfaced, and pavements and street-lighting provided. This should involve a link from the north of the site to the new road that will connect Strategic Site ST7 (Land East of Metcalfe Lane) to Murton Way.
- Provide details of the significant landscaping belt and/or other measures required to lessen the environmental impact of the adjacent waste processing site.

No further accommodation is to be occupied at Outgang Lane, Osbaldwick until such a Masterplan has been submitted and approved, and all necessary access, landscaping and other measures it identifies have been carried out.

b) Within Strategic Allocations

In order to meet the need of those 28 44 Gypsies and Traveller households that do and do not meet the planning definition:

Residential development proposals on strategic sites Applications for larger development sites of 5 ha or more will be required to: provide a number of pitches within the site or provide alternative land that meets the criteria set out in part c) of this policy to accommodate the required number of pitches.

Commuted sum payments to contribute to development of pitches elsewhere will only be considered where it is demonstrated that on site delivery is not achievable due to site constraints and that there are no suitable and available alternative sites for the required number of pitches that can be secured by the developer.

	<ul style="list-style-type: none"> Provide a number of pitches within the site; or Provide alternative land that meets the criteria set out in part (c) of this policy to accommodate the required number of pitches; or Provide commuted sum payments to contribute towards to development of pitches elsewhere. <p>The calculations for this policy will be based on the hierarchy below:</p> <ul style="list-style-type: none"> - 100 - 499 dwellings - 2 pitches should be provided - 500 - 999 dwellings - 3 pitches should be provided - 1000 - 1499 dwellings – 4 pitches should be provided - 1500 - 1999 dwellings - 5 pitches should be provided - 2000 or more dwellings - 6 pitches should be provided 	
<p>MM5.24</p>	<p><u>c) Planning Applications</u></p> <p>In addition to the above allocated sites, development for Gypsy and Traveller sites will be permitted where proposals:</p> <ol style="list-style-type: none"> i. do not conflict with the objective of conserving and enhance York’s historic and natural environment. This includes the city’s character and setting and internationally, nationally and locally significant nature conservation sites, green corridors and areas with an important recreation function; ii. ensure accessibility to public transport and services; iii. are suitable in terms of vehicular access and road safety including internal space for adequate parking and turning; iv. ensure that development does not have an undue impact on the residential amenity of current residents and future occupiers, including leading to unacceptable levels of congestion, pollution and air quality; and v. appropriately manage flood risk. <p>In addition, proposals will be expected to:</p>	<p>No. The changes proposed in this MM were not considered significant for the purposes of SA in the SA Report Addendum (January 2023) and no further changes to the text have been identified. The SA provides a high-level appraisal of Policy H5 and the proposed modifications to the policy wording are not considered significant for the purposes of SA.</p>

	<ul style="list-style-type: none"> vi. provide adequate provision for storage, recreation space, amenity provision and utility services; vii. ensure that the size and density of pitches/plots are in accordance with have regard to best practice guidance; viii. incorporate appropriate landscape proposals to have a positive influence on the quality and amenity of the development; ix. ensure that residents living nearby are not unduly affected by noise, disturbance or overlooking; and x. ensure future occupiers would not be subject to significant adverse environmental impacts. <p>Any permission granted for a Gypsy and Traveller development will be subject to a condition limiting occupation to Gypsies and Travellers, as appropriate.</p>	
<p>MM5.25</p>	<p>Explanation</p> <p>5.36 Key evidence including the Equality and Human Rights Commission report Inequalities Experienced by Gypsy and Traveller Communities (2009) suggests that today Gypsies and Travellers are the most marginalised and disadvantaged of all minority groups nationally, suffering the greatest inequalities across a range of indicators.</p> <p>5.37 Planning Policy for Traveller Sites (2015 2023) introduced a revised the definition for Travellers which states that households that do not travel and have not ever travelled for work purposes fall outside the planning definition of a Traveller. In light of the revised definition, the Council updated the evidence that informed the City of York Gypsy and Travellers Accommodation Assessment (2022) and the resulting pitch requirements are set out in table 5.3 commissioned consultants to undertake an update of the Gypsy, Traveller, and Showpeople Accommodation Assessment completed in 2013. As part of this update, Gypsy, Traveller and Showpeople households completed a revised survey which could be used to analyse their travel patterns and to conclude whether or not they fall into the revised definition of Travellers.</p>	<p>Yes. The additional MM proposes changes to explanatory text that include reference to the updated Gypsy and Traveller pitch provision that reflects updated household evidence since publication of the 2022 GTAA. In line with MM5.23, this is considered significant for the purposes of SA.</p> <p>The further explanatory text changes identified at this stage are not considered significant for the purposes of SA.</p>

5.38 Table 5.3 overleaf is taken from the City of York Gypsy and Travellers Accommodation Assessment Update (2017) and summarises the number of households in York which do/do not meet the definition

Table 5.3: Pitch needs for Gypsy and Travellers 2022-2038 Meeting the Revised Definition of a Traveller

Delivery Status	Pitch Requirements
Meet Planning Definition (including undetermined)	12
Do not meet Planning Definition (including undetermined)	26
Total	38

Households in York	GTAA ²⁵	SHMA ²⁶	Total
Households that meet the planning definition (incl. 10% of unknown need)	3	0	3
Households that do not meet the planning definition (incl. 90% of unknown need)	0	44	44

²⁵ GTAA – Gypsy and Traveller Accommodation Assessment

²⁶ SHMA – Strategic Housing Market Assessment

Total	3	44	47
Showpeople households that meet the planning definition	3	0	3
Total	3	0	3

5.39 In accordance with Government guidance set out in the NPPF (2012) and Planning Policy for Traveller Sites (2015 2023), the Council is required to identify a supply of specific, deliverable Gypsy, Traveller and Travelling Showpeople sites sufficient to provide five years' worth of sites against their locally set targets to meet accommodation needs of these groups who meet the revised definition in York.

5.40 It is recognised that Gypsies and Travellers and Travelling Showpeople have different needs and that the two different groups should not be located on the same areas of land. Gypsy and Traveller and Travelling Showpeople provision has its own specific terminology. Gypsy and Traveller provision is expressed in 'pitches' on sites whereas Travelling Showpeople provision is expressed as 'plots' on sites often called a 'yard'. Nationally, pitch/plot sizes range from 200 m² to 500 m². An upper measurement of 500 m² has been used in the allocation of sites to allow final design to Sites will be designed to accommodate all of the requirements set out in design guidance, including landscaping, play space and access arrangements. Space haswill also been taken into account for equine grazing which is a much needed provision in York. Final pitch sizes will ultimately be a matter for detailed planning applications to determine.

5.40a The Council is committed to investing in each of its owned and managed traveller sites to ensure that current and future residents are not exposed to unsatisfactory living conditions and that steps are taken to improve existing facilities. In addition to this, following adoption of the plan the Council will undertake an early site search within the terms of PPTS and assess any options that emerge as potential alternatives sites to the further expansion of its sites at Osbaldwick and Clifton. Options will need to be considered in the context of the masterplan process required by part A of Policy H5. The masterplan outputs will form a benchmark against which options shall be assessed. This work will be undertaken as part of a comprehensive package of actions developed and progressed in

	<p>collaboration with the gypsy and traveller community to ensure that existing inequalities are properly addressed.</p> <p>5.41 Three Two plots for Travelling Showpeople have has been identified for the first 5 years of the plan period at The Stables, Elvington, with a further 1 plot in the same yard for the future expansion of the existing family in year 2032. The nature of Travelling Showpeople's work, requires level hard standings and covered sheds for the maintenance and storage of large fairground rides. For this reason, applications for yards in existing and allocated employment sites will be supported where the provision will not compromise the employment land supply.</p> <p>5.42 The suitability of the location of any further sites for Gypsies, Travellers or Travelling Showpeople which come forward during the plan period will be determined in accordance with criteria i – v of Policies H5 and H6. These consider the natural and historic environment, access to public transport and services, road access and congestion, flood risk and amenity. The development of the allocated sites and any further sites that come forward during the plan period will be determined in accordance with Policies H5 and H6 criteria vi – x. These consider the provision of storage and recreation space, amenity provision, size and density of pitches/plots, landscaping of the site, amenity of nearby residents and future occupiers of the site.</p> <p>5.42 The suitability of sites not allocated for Gypsies, Travellers or Travelling Showpeople in this Local Plan will be assessed against the locational principles within criteria i-v of Policies H5 and H6 (Part C) as appropriate. All development proposals (including those forming part of a strategic allocation) will need to demonstrate that the site's design and layout observes the principles within criteria vi-x of Policies H5 and H6, as appropriate.</p> <p>Where proposals seek to provide a commuted sum in lieu of either on or off-site pitch provision, applications will need to comprehensively demonstrate the following:</p> <ol style="list-style-type: none"> 1. That the design parameters (vi – x in part C of Policy H6) cannot be satisfactorily achieved through evidence of a site and masterplan appraisal (which should include layout and capacity assessments as well as a demonstration of all reasonable attempts to overcome any site constraints); and, 	
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	<p>2. That there are no available sites which would be suitable for the number of pitches required. Evidence should include an appraisal of sites on the market at the time of the application with clear justification for their rejection.</p> <p>Commuted sums will be calculated on the basis that costs are met in full including, where appropriate, land purchase, professional fees, construction, and operating costs.</p> <p>5.43 A condition will be attached to any permission to ensure that the sites remain in use by Gypsies and Travellers or Travelling Showpeople, as appropriate and the number of pitches and plots are retained to ensure a supply to need demand.</p> <p>Delivery</p> <ul style="list-style-type: none"> • Key Delivery Partners: City of York Council, Developers, Housing Charities, Gypsy, Roma, Traveller and Travelling Showpeople stakeholders • Implementation: Planning applications and strategic site masterplans 	
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Appendix B

UPDATED APPRAISAL OF THEMATIC
LOCAL PLAN POLICES





The policy re-appraisal utilises the same matrix and text as the Publication Draft SA Report (2018) Appendix J. Given the scope of the Main Modifications (MMs) only the SA matrix covering the policies in the Housing section of the Local Plan Publication Draft (February 2018) is included.

Changes were made to the appraisal in light of the first set of proposed modifications, which were subject to appraisal presented in the SA Report (June 2019). Where changes to the SA scoring or appraisal commentary are the same as the 2019 SA Report Addendum these have been identified in underline for additional text or with ~~striketrough~~ for deleted text. Where the text includes changes made in the SA Report Addendum (May 2021) or SA Report Addendum (January 2023) following the hearing sessions these are also represented by underline and ~~striketrough~~ with these later amendments are also in **bold**. The latest amendments are also represented by underline and ~~striketrough~~ in **bold** but the text is also highlighted in **blue**.

Please note that the matrix includes changes made to the assessment of all policies in the Housing section of the Local Plan Publication Draft (February 2018) (i.e. the changes in assessment presented do not solely reflect the changes to Policy H5).

	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
1. To meet the diverse housing needs of the population in a sustainable way.	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off-Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
	+	++	++	++	++	++	++	++	++	++	++	<p>Likely Significant Effects</p> <p>Implementation of these policies would have significant positive effects on this objective in the short, medium and long term. Although minor negative effects have also been assessed.</p> <p>Policy H1 would help to meet the housing requirement set out in Policy SS1 and complement the minor positive effects in the short, and medium and long term that the provision of a <u>minimum of 822 790</u> dwellings per annum up to 2032/33 will make. <u>The policy would see the development of a number of housing allocations at strategic and local site level that would contribute to delivery of the housing need identified for the City.</u> However, the policy would also contribute to minor negative effects in the long term as the delivery in H1 would meet the CLG baseline population and household growth projections but not fully meet the PPG compliant approach to the calculation of housing need in the City of York area as it does not include an upward adjustment of the baseline for housing market signals (as set out in the Strategic Housing Market Assessment (SHMA) (2017 update) technical work prepared for the Council by GL Hoern). Even with the shortfall for 2012-2017 annualised over the period (56dpa), the 'annual target' is below that identified within the SHMA which in any event would require the shortfall</p>

SA Objective										Cumulative effect of the draft policies	Commentary on the effects of each policy*
Housing											
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
											<p>to be applied. However, the presence and extent of the negative effects is dependent on delivery on the ground in the plan period above the housing figure. Careful monitoring is therefore required. The phasing will ensure even delivery across the plan period.</p> <p>Implementation of Policies H2, H3 and H4 will help to ensure that there is a good balance and mix of housing provided as part of new housing developments, which would be particularly important in meeting the diverse housing needs of York. The evidence base identifies an increasingly complex housing market spatially and sectorally which demands policy which can respond positively and flexibly to evolving needs <u>as identified in the 2022 Local Housing Needs Assessment (LHNA) or other best available evidence.</u> For example, the York SMHA prepared by GL Hearn (2016), identified the need for 2 and 3 bedroom dwellings across the City, reflecting the demand for family housing and the demand from older persons wishing to downsize but still retain flexible accommodation.</p> <p>Implementation of Policy H4 would support the development of self and custom build homes on all strategic sites and would further help to meet the diverse housing needs of the population. The scale of the provision involved (5% of plots on the strategic sites) mean that this policy, would make an important contribution to the diversity of choice in relation to self and</p>

SA Objective	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built</u> Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing	Cumulative effect of the draft policies	Commentary on the effects of each policy*
												<p>custom build opportunities and have a significant effect on this objective.</p> <p>Policies H5 and H6 would help to meet the needs of the gypsy and traveller, roma and travelling showpeople communities which are an often marginalised group of society and have significant positive effects on this objective. The evidence base shows that there is a shortfall of accommodation for these groups with <u>a need for 10 additional 3 permanent pitches for Gypsies and Travellers and 3 4 four permanent plots for Showpeople (as defined by 'Planning Policy for Travellers Sites') plus a further 28 30 44 pitches for Gypsies and Travellers who do and do not meet the definition. a need over the duration of the Plan for 47 gypsy and traveller pitches and 3 plots for showpeople.</u> In specifying accommodation provision requirements over the Local Plan period and including policy to guide provision, the approach would help meet this need, in accordance with the Government's 'Planning Policy for Traveller Sites' (2015). H6 also includes an allocation for Travelling Showpeople.</p> <p>Implementation of Policy H7 would help to meet the housing needs of students <u>off campus</u> where there is a proven need. Implementation of Policy H8 would help to control the numbers of houses in multiple occupation in order to control issues of overcrowding.</p>

SA Objective	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built</u> Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
2. Improve the health and well-being of York's population.	+	++	++	++	++	++	++	++	++	++	++	<p>Implementation of policy H9 will support the provision of older persons specialist accommodation. Development proposals will be supported where (inter alia) they meet an identified need. Additionally, provision is should be included on the strategic sites. This will ensure that development in City of York area meets these accommodation needs.</p> <p>Implementation of policy H10 would help to improve affordability across the housing market in York <u>with tenure type informed by the LHNA or other best available evidence</u>. Increasing affordability of housing would have significant positive effects in helping to meet the diverse housing needs of York's population and would also have significant positive effects on this objective.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties None identified</p> <p>Likely Significant Effects Implementation of the proposed polices would help to provide good quality housing of a range of types and help towards meeting the diverse housing needs of the population. Living in</p>

SA Objective	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing	Cumulative effect of the draft policies	Commentary on the effects of each policy*
												<p>the right type and quality of housing would have associated positive health benefits. In particular implementation of Policy H8 would help to control overcrowding, which could otherwise have adverse health impacts.</p> <p>Implementation of policies H5 and H6 would help to improve the health and well-being of the gypsy, traveller, roma and travelling showpeople community by providing dedicated sites for what is often a marginalised section of society. Both policies seek to avoid adverse environmental impacts from development and the incorporation of recreation space and utility services.</p> <p><u>Furthermore, H5 requires that a Masterplan is approved for Outgang Lane, Osbaldwick that would help lessen the environmental impact of neighbouring uses on the site prior to development of further pitches.</u> H6 also specifically seeks to avoid impacts on the amenity of existing residents and future occupiers.</p> <p>In addition, the siting off the new housing sites, seek to ensure that they are sustainable located with options other than private transport available to occupiers and in close proximity to areas of open green space for recreation. Increasing the opportunities to walk and cycle is also associated with improved health benefits.</p> <p>Implementation of policy H10 will help to make housing more affordable and will increase people’s chances of living in a home of their choice. Additionally, H9 will provide accommodation</p>

SA Objective	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
3. Improve education, skills development and training for an effective workforce.	0	0	0	0	0	0	0	0	0	0	0	<p>Likely Significant Effects</p> <p>Implementation of Policies H1 Housing Allocations, H2 Density, H3 Balancing Housing Market, H4 Self Build and H10 Affordable Housing would help to deliver a significant amount of new housing in York which could help to create jobs and potentially training opportunities for local people in the construction industry and raise skill levels in this sector. However, any positive effects would depend upon the approach taken by house builders as to whether training opportunities and skills development benefited local people and therefore had any positive effects on this objective.</p>

SA Objective	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
												<p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties There is uncertainty around the extent of any training opportunities that there may be for local people associated with construction jobs for new housing. The extent of any positive effects would depend upon the approach taken by house builders and construction companies towards the development of training opportunities and skills development.</p>
4. Create jobs and deliver growth of a sustainable, low carbon and inclusive economy.	+	+	+	+	0	0	+	0	+	0	+	<p>Likely Significant Effects Implementation of Policies H1, H2, H3, H4, H9 and H10 would help to deliver a significant amount of new housing in York. This would help to create construction jobs associated with building new housing which would have positive effects on this objective.</p> <p>Policy H1 in particular, as it makes provision for the housing requirement of 822 dwellings per annum up to 2032/33, is considered to have a positive effect on creating and sustaining employment in York, particular for those working or looking to work in the house building and construction sector (which is around 5% of the total employment across the city).</p>

SA Objective	Housing												Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing	Cumulative effect of the draft policies		
5. Help deliver equality and access to all.	+	+	+	+	++	++	+	0	++	++	++	<p>Policy H4, makes provision for the construction of new houses by self- builders and custom house builders in line with requirements of the NPPF. This is expected to support skills in the local workforce.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties There is uncertainty around the extent that new job creation associated with the development of new housing would have positive effects on this objective. It would depend upon the skills of local people as to whether they could be employed on construction projects for new housing and also the approach taken by house builders in using local workforce.</p> <p>Likely Significant Effects Implementation of policies H1-H4 and H7 (<u>Off Campus Purpose Built Student Housing</u>) would help to deliver a significant amount of new housing across York, which would help people to have greater access to housing and therefore have positive impacts on this objective, with H1 making provision for delivering the housing requirement of a minimum of 822867 dwellings per</p>	

SA Objective	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built</u> Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		<p>annum (as set out in SS1). The majority of allocations included in H1 scored positively or significantly positively for this objective.</p> <p>Implementation of Policies H5 and H6 would have significant positive effects on this objective since they would enable delivery of dedicated sites for what is often a marginalised group of society and therefore help to deliver equality for the Gypsy, Traveller, Roma and Showpeople Community.</p> <p>Policy H10 would also have significant positive effects upon this objective as it would help to improve access to affordable housing across York by ensuring provision (in perpetuity) and therefore reduce a cause of inequality to the community. H9, meanwhile will support the delivery specialist accommodation to meets specific housing needs over the lifetime of the development. These policies would therefore have significant positive effects in relation to this objective in the short, medium and long term.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties None identified.</p>

SA Objective	Housing											Cumulative effect of the draft policies	Commentary on the effects of each policy*
6. Reduce the need to travel and deliver a sustainable integrated transport network.	Policy H1 – Housing Allocations		Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		Likely Significant Effects
	+	-	+	+	+	+	+	+	+	+	+	+	<p>Depending upon the locations of new housing there could be an increase in traffic generation associated with this housing if such locations are not accessible by sustainable modes of transport, which could have negative effects on this objective. The scale of change proposed within York up to 2032/33 will inevitably generate an increase in the number of vehicles in the city above the existing baseline. There is the potential for the increase in vehicles to lead to an increase in vehicle movements although whether it will be within the City or on the strategic road network is uncertain. In considering these policies, and in particular H1, alongside the requirements of other policies in the plan, notably SS1 and T1 it is the effects upon this objective are considered to have the potential for positive and negative effects. Policy SS1 includes ensuring accessibility to sustainable transport modes is a key guiding principle, whereas Policy T1 would help to reduce the need to travel. In consequence, the policies when considered in conjunction with others in the local plan would have positive effects on this objective. Furthermore, the majority of proposed allocations included in H1 scored positively or significantly positively for this objective.</p> <p>Policy H2 sets out the net densities that housing developments will be expected to achieve and this includes the highest density for the city centre, a requirement for 50 units/ha within the York urban area and that support would be given for higher density</p>

Housing											
SA Objective	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing	Cumulative effect of the draft policies
											<p>development within 400m of a high frequency public transport corridor or transport hubs where in compliance with other plan objectives. These requirements, particular for higher density development in urban areas (where there will be existing good public transport links) would help to ensure that new housing can be accessed by sustainable modes of transport and have a positive effect on this objective.</p> <p>Implementation of Policy H4 would support the development of new self and custom build houses on the strategic sites. These strategic sites would need to be developed in accordance with other policies in the plan, including the requirement for travel plans and would therefore need to be accessible by sustainable modes of transport. On this basis development of new build homes on these sites would have positive effects upon this objective. Policy H9 supports specialist accommodation on strategic sites and in accessible locations, thereby supporting achievement of this objective.</p> <p>Policies H5 and H6 include the potential for development of additional gypsy and traveller sites where proposals ensure accessibility to public transport and services and so are considered compatible with this objective. <u>Policy H5 also specifically requires measures to improve access and connectivity are included in an approved Masterplan before development of further pitches at Outgang Lane, Osbaldwick.</u></p>

SA Objective	Housing																Cumulative effect of the draft policies	Commentary on the effects of each policy*			
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing											
	+	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	<p>In particular Policy H7 supports the development of new student housing where it is accessible by sustainable transport modes, which would have positive effects on this objective.</p> <p>Overall it is considered that implementation of policies H2-H10 alongside the transport policies would have positive effects upon this objective in the short, medium and long term.</p> <p>Mitigation None identified.</p> <p>Assumptions It is assumed that there would be a requirement for the provision of access to sustainable modes of transport as part of new large scale housing developments to help deliver a sustainable transport network.</p> <p>Uncertainties None identified.</p>
7. To minimise greenhouse gases that cause climate change and deliver a managed response to its effects.	+	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	<p>Likely Significant Effects Inevitably with the development of new housing there would be an increase in greenhouse gas emissions, associated with the construction activity (combining the effects from the embodied carbon in the construction materials as well as the emissions from construction traffic to and from the site). There could also be an increase in emissions associated with the energy</p>

SA Objective	Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*								
	Policy H1 – Housing Allocations			Policy H2 – Density of Residential Development		Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building		Policy H5 – Gypsy and Travellers		Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing		Policy H8 – Houses in Multiple Occupation		Policy H9 – Older Persons Specialist Housing		Policy H10 – Affordable Housing		<p>consumption from the occupation of the new houses. However, Policy CC1 supports renewable and low carbon sources of energy and energy efficiency. Policy CC2 requires that all new development will be expected to consider the principles of sustainable design and construction and to make carbon savings through reducing energy demand, using energy and other resources efficiently.</p> <p>Policy CC2 also requires that dwellings achieve 310% reduction in carbon emissions above <u>Part L of the Building Regulations</u> compared to the Target Emissions Rate. The requirements of these policies would help to ensure that new housing developments are sustainably built, minimise greenhouse gas emissions and to help manage the response to climate change.</p> <p>The construction of the new homes will also lead to some indirect greenhouse gas emissions associated with vehicle movements. Any increase in vehicle movements and/or congestion could have adverse effects in relation to local air quality and the emission of greenhouse gases from vehicle emissions. However, this effect would be mitigated by the commitments on sustainable location, transport statements and Travel Plans.</p> <p>In consequence, whilst the direct effects of emissions from the new development will be considered to be minimal in regard to climate change, the indirect effects of any road travel associated with new development are considered to have a negative effect.</p>

SA Objective	Housing											Cumulative effect of the draft policies	Commentary on the effects of each policy*
	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing			
													Overall it is considered that there would therefore be neutral and negative effects from the implementation of this objective. Mitigation None identified. Assumptions None identified. Uncertainties None identified.
8. Conserve or enhance green infrastructure, biodiversity, geodiversity, flora and fauna for accessible high quality and connected natural environment.	0	0	0	0	0	0	0	0	0	0	0	0	<p>Please note the text here replaces the text in the SA Addendum (April 2018) Appendix C. It therefore includes the changes to the SA Report (2018) prior to submission in underline and strikethrough. Amendments contained within the SA Report Addendum (June 2019) and SA Report (May 2021) Addendum are in bold. No new changes to the appraisal were identified in the SA Report Addendum (January 2023) and no new changes have been identified in this SA Report Addendum.</p> <p>Likely Significant Effects</p>

SA Objective										Cumulative effect of the draft policies	Commentary on the effects of each policy*
Housing											
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
											<p>New housing developments could have adverse effects in relation to conserving or enhancing green infrastructure, biodiversity, geodiversity, flora and fauna for accessible high quality and connected natural environment if sited in inappropriate locations or without appropriate mitigation. However, other policies in the plan, notably SS1, DP1, DP2, GI1, GI2, GI2a, GI3 and GI6 would help to ensure that the location of any proposed development will seek to conserve and enhance York’s natural environment including internationally, nationally and locally significant nature conservation sites and green corridors.</p> <p>TwoOne of the proposed general housing allocation sites and threefour strategic allocation sites have been identified as being within 250m of Statutory designated nature sites e.g. SPA/SAC/SSSI/LNR and as such have been appraised as having a significant adverse effect. A number of the other sites allocated have been identified as being either within 500m of these statutory sites and/or in some cases within 250m of other sensitive (but not statutory) ecological designations including SINC’s and Areas of Local Nature Conservation. Whilst the full effects can only be considered at the detailed planning application stage, the HRA (2020) identifies that a number of</p>

SA Objective										Cumulative effect of the draft policies	Commentary on the effects of each policy*
Housing											
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
											<p><u>sites could have the potential to increase recreational pressure on Strensall Common SAC and undermine conservation objectives and therefore Appropriate Assessment was undertaken. The HRA states that for the majority of sites adverse effects could be ruled out without the need for mitigation whilst for 4 strategic sites (ST7, ST8, ST9 and ST14) mitigation measures in the form of policy wording would be sufficient to remove the threat of an adverse effect. (February 2019) of the housing policies (at this stage) indicates that it is unlikely to have significant adverse effects upon biodiversity sites of international importance. The HRA (April 2018) could not rule out likely significant effects from site H59 in terms of the recreational pressures on Strensall Common SAC. However, with mitigation identified through Appropriate Assessment (as detailed in the policy) there were found to be no adverse effects on the integrity of the site.</u></p> <p>It is important that development proposals are brought forward in accordance with the Green Infrastructure policies, in particular GI2 <u>and GI2a</u> to avoid any adverse effects upon feature of biodiversity interest. <u>Cross reference to these policies is therefore welcomed.</u> <u>At the planning application stage</u></p>

Housing										Cumulative effect of the draft policies	Commentary on the effects of each policy*
SA Objective	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built</u> Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing		
											<p><u>enhancements may also lead to positive effects on achieving this objective, although overall the effects of the policy are considered to be neutral.</u></p> <p>Policies H5 and H6 seek to safeguard the existing supply of sites for Gypsies, Roma, Travellers and Showpeople and H6 allocates a new site at the Stables, Elvington to meet need. Assuming that this policy is implemented in accordance with other policies in the plan, there would be no adverse effects on this objective.</p> <p>Overall it is considered that effects from the implementation of these policies is neutral.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties There could be enhancements to green infrastructure, biodiversity, geodiversity, flora and fauna as part of new housing developments. However any such benefits could only be determined at the detailed planning application and so it is</p>

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	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing							
														uncertain at this stage the extent of any positive effects that there may be.			
9. Use land resources efficiently and safeguard their quality.	+	-	+	+	-	+	-	+	+	+	0	+	-	0	+	-	<p>Likely Significant Effects</p> <p>It has been identified through the detailed site appraisals that approximately 29% of proposed housing sites are on brownfield land. This would help to re-use existing land and therefore mean that approximately one third of the 16,000+ new homes over the plan period will be on brownfield sites. However, a significant amount of greenfield land (approximately 57% of all housing sites) is required for new housing which would score negatively against this objective of using land resources efficiently. The effects of policies H1 Allocations, H3 Balancing Market and H4 self and custom build are considered likely to have both positive and negative effects upon this objective.</p> <p>Implementation of Policy H2 would help to achieve good density for residential developments. This would help to ensure efficient use of land for housing and reduce the amount of new land required for housing. This would therefore have a positive effect upon this objective.</p> <p>Implementation of Policy H5 would help to safeguard the existing supply of Gypsy and Traveller Sites, which would help to ensure efficient use is made of the existing land used for this purpose. The allocation of a new Travelling Showpeople site would help meet the identified need and provide a dedicated site to help</p>

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	Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – Off Campus Purpose Built Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing			
													<p>avoid unauthorised sites arising elsewhere and help to avoid unnecessary use of other land. There would therefore be positive effects on this objective from this policy.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties None identified.</p>
10. Improve water efficiency and quality.	0	0	0	0	0	0	0	0	0	0	0	0	<p>Likely Significant Effects</p> <p>New housing development would increase demand for water resources overall. However, such effects will be mitigated through use of policies such as CC2 ‘Sustainable Design and Construction of New Development’.</p> <p>In addition to policies in this Plan Yorkshire Water have produced a Water Resources Management Plan. This sets out how they will ensure supply meets demand for the 25 years from 2015/16 to 2039/40. It incorporates future pressures on water supply and demand due to predicted changes to the climate. It also looks at future changes in population, housing, water use and metering trends in Yorkshire. York is identified as being within the Grid SWZ Water Resource Zone. Yorkshire Water has identified that</p>

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Housing												
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing			
												<p>(taking into account multiple factors including population growth) the Grid SWZ is forecast to be in deficit from 2018/19 onwards. The forecast deficit in 2018/19 is 2.67MI/d increasing to 108.65MI/d by 2039/40. Within their WRMP, Yorkshire Water has identified as series of demand management and options to increase supply to meet this forecast deficit.</p> <p>Overall and in consideration of implementation of these policies alongside CC2 and wider measures including the Water Resources Plan highlighted above, and the fact that (as noted below) any improvements to water efficiency / quality can only be fully determined at the detailed planning application stage, overall effects on this objective are considered to be neutral.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties There could be opportunities to improve water efficiency as part of new housing developments, for example with the development of SUDS. However, any such improvements could only be determined at the detailed planning application stage, and so it is uncertain at this stage what positive effects there may be.</p>

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11. Reduce waste generation and increase level of reuse and recycling.	+	-	+	+	+	+	+	+	+	+	+	+	-	<p>Likely Significant Effects</p> <p>The development of new housing would inevitably result in an increase in waste generation which would have adverse effects in relation to this objective. However, policy WM1 requires the integration of facilities for waste prevention, re-use, recycling, composting, and recovery in association with the planning, construction and occupation of new development for housing. This requirement would help reduce waste consumption associated with new housing development and to increase levels of reuse and recycling.</p> <p>For these reasons it is considered that there would be positive and negative effects on this objective associated with the level of growth proposed for York in the short, medium and long term.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties None identified.</p>
12. Improve air quality.	-	-	0	-	-	-	-	0	-	0	-	-	-	<p>Likely Significant Effects</p>

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Housing											
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built</u> Student Housing	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing		
											<p>New housing development covered by the policies in this chapter could have an adverse impact on air quality in York. Two strategic allocations (ST5 and ST36) are within Air Quality Management Areas (AQMAs) and have been assessed significantly negative against this objective. Impacts from these policies could occur during construction of any new development and could be related to dust and particulate matter although such effects will be very localised. In addition as they are subject to a variety of policies in the plan, notably, ENV1 which states that 'development will only be permitted if the impact on air quality is acceptable and mechanisms are in place to mitigate adverse impacts and prevent further exposure to poor air quality', it is likely that such effects, if they do occur, will be acceptable.</p> <p>There could also be effects arising from an increase in vehicle use associated with the growth in housing and the associated vehicle emissions, although these effects would be mitigated to some extent by the commitments on sustainable location, transport statements and Travel Plans contained within the transport policies T2 and T8 and also through the requirements of Policy ENV1 on Air Quality.</p> <p>In consequence, the indirect effects of any road travel associated with new housing development are considered to have a minor negative effect (in the case of policies H1, H2, H4, H5, H6, H7 and H9).</p>

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												<p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties None identified.</p>
13. Minimise flood risk and reduce the impact of flooding to people and property in York.	0	0	0	0	0	0	0	0	0	0	0	<p>Likely Significant Effects</p> <p>New housing development could have adverse effects in relation to flood risk and reducing impacts of flooding to people and property if sited in inappropriate locations or without appropriate mitigation. The following strategic sites – ST5 (York Central PSC boundary), , ST7 (Amalgamate sites to east of Metcalfe Lane), ST 15 (Land to the west of Elvington Lane) and ST32 (Hungate) have all been appraised as having a significant negative effect due to the sites including land identified as Flood Zone 3. However, when considered alongside other policies in the plan, notably Policy ENV4 it is not considered that there would be any overall adverse effects in relation to this objective from this policy.</p> <p>As part of the detailed site appraisal for housing allocations any sites identified in areas of significant risk of flooding (flood zones 2 and 3) have been flagged up as having significant constraints</p>

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													<p>for future development. It will be for the developer to demonstrate to York City Council and the Environment Agency that any flood risk associated with a development proposal will not be at risk from flood events or increase the risk of flooding elsewhere.</p> <p>On this basis it is considered that there would be no overall significant effects from the implementation of these policies on this objective.</p> <p>Mitigation None identified.</p> <p>Assumptions It is assumed that new housing will be located in areas at lowest risk of flooding, or that housing developments would need to accord with policies elsewhere in the plan, notably ENV4, in order to mitigate any adverse effects on flooding.</p> <p>Uncertainties None identified.</p>
14. Conserve or enhance York's historic environment, cultural heritage, character and setting.	+	?	+	0	0	0	0	0	+	0	0	+	<p>Likely Significant Effects Implementation of these policies would see the development of a significant amount of new housing across York. New housing development in inappropriate locations or poorly designed could have adverse effects on York's historic environment, cultural heritage, character and setting. However, when considered</p>

Housing											
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											<p>Commentary on the effects of each policy*</p> <p>alongside other policies in the plan including D2, D4, D5, D7 and D10 the development of new housing in accord with these policies would help to conserve York’s historic environment through ensuring good design of new housing developments and thereby avoiding adverse effects.</p> <p>The Heritage Impact Assessment (HIA) noted that for H1 there is potential for positive effects on the historic environment although effects are uncertain. The proposed allocations had a mix of scores against this objective.</p> <p>For policy H2 the HIA noted that there is potential for positive effects from supporting higher densities but the effects are largely neutral and dependent on the implementation of the policy. For H3 the HIA noted that as this policy is about provision of different types of housing, the influence on characteristics will therefore depend on design proposals that come forward. Currently, it is considered that the likely impacts are predominantly neutral, however, there is potential for positive effects or harm subject to design.</p> <p>Policies H5 and H6 safeguards the existing supply of Gypsy, Roma, Travellers and Showpeople sites and allocates one new sites to meet need. Provided that these sites are implemented in accordance with the design policies then there should be no adverse effects on York’s historic environment. Furthermore, the policy would only allow other new Gypsy and Traveller sites where proposals do not conflict with the objective of conserving</p>

Housing											
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											<p>Commentary on the effects of each policy*</p> <p>and enhance York’s historic environment and that this includes the city’s character and setting. This requirement would help to conserve York’s historic environment, cultural heritage, character and setting and have positive effects upon this objective. The HIA assessed neutral effects for these policies.</p> <p>For policy H7 the HIA identified that potential harm has been identified for characteristics 3 and 6, Landmark Monuments and Landscape and Setting respectively due to housing development at/near York university campus. The type and scale of these impacts would be dependent upon the type and location of any development. Implementation of other policies in the plan including design/placemaking and green infrastructure would be required to mitigate this.</p> <p>For policy H7 on student housing the HIA noted that the policy has a neutral impact on strong urban form by preventing any current impacts from getting worse. The policy has a positive impact on the architectural character of the city as it is conserving existing stock and limiting pressures of new development.</p> <p>The production of heritage statements as part of new housing development would further help to understand the potential effects of new housing development on York’s historic environment and ensure that is at the very least conserved and also enhanced where possible.</p>

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														<p>For the reasons set out above and considered alongside other policies in the plan, in particular implementation of these policies alongside the design policies, it is considered that there would be positive effects in the short, medium and long term on this objective.</p> <p>Mitigation None identified – provided that policies are implemented in accordance with policies on placemaking and design then no other mitigation required to ensure no adverse effects on York’s historic environment.</p> <p>Assumptions None identified.</p> <p>Uncertainties There could be enhancements to York’s historic environment as part of new housing developments. However any such benefits could only be fully determined at the detailed planning application and so it is uncertain at this stage the extent of any positive effects that there may be.</p>
15. Protect and enhance York’s natural and built landscape.	+	+	0	0	?	?	?	+	0	+	+	?	<p>Likely Significant Effects Implementation of these policies would see the development of a significant amount of new housing across York. New housing development in inappropriate locations could have adverse</p>	

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Housing												
Policy H1 – Housing Allocations	Policy H2 – Density of Residential Development	Policy H3 – Balancing the Housing Market	Policy H4 – Promoting Self and Custom House Building	Policy H5 – Gypsy and Travellers	Policy H6 – Travelling Showpeople	Policy H7 – <u>Off Campus Purpose Built Student Housing</u>	Policy H8 – Houses in Multiple Occupation	Policy H9 – Older Persons Specialist Housing	Policy H10 – Affordable Housing			
												<p>effects on York’s natural and built environment. However in considering these policies alongside others in the plan, notably the requirements of Policies D1 and D2, then the development of new housing across York would help to protect and enhance York’s natural and built environment.</p> <p>Policies H5 and H6 set out that new Gypsy and Traveller and Travelling Showpeople sites (other than those already in use) would only be allowed where they would not conflict with the objective of conserving York’s historic and natural and including the City’s character and setting.</p> <p>The HIA notes for H5, H6 and H7 there may be negative effects on the landscape but any effect is dependent on implementation.</p> <p>On this basis it is considered that there would be positive effects on this objective in the short, medium and long term. However, there is uncertainty relating to implementation of the policies on the ground.</p> <p>Mitigation None identified.</p> <p>Assumptions None identified.</p> <p>Uncertainties</p>

Housing											Cumulative effect of the draft policies	Commentary on the effects of each policy*
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												The exact extent and specific details of any enhancements to York's natural environment can only be considered at the detailed planning application stage.
<p>Summary</p> <p>Implementation of these policies would have significant positive effects on objectives 1, 2 and 5. These policies would help to deliver a significant amount of new housing over the plan period and would ensure that there is a good mix of different types of housing developed, that such housing is affordable and meets need. Existing supply of sites for Gypsies, Roma, Travellers and Showpeople would be safeguarded and new sites allocated to meet need. All of the various measures in this policy would help to meet the diverse housing needs of York's population and have significant positive effects on objective. By providing the housing to meet need there is associated significant positive effects on health and well-being and also for access and equality.</p> <p>Positive effects have been identified on objectives 4, 9, 11, 14 and 15.</p> <p>Effects on objective 8 are considered to be neutral although there are a number of the allocated housing sites within 500m and in some cases 250m of sensitive ecological designations. Whilst the full effects can only be considered at the detailed planning application stage, the HRA of the housing policies and strategic sites indicates that they are unlikely to have significant adverse effects upon biodiversity sites of international importance. It is important that development proposals are brought forward in accordance with the Green Infrastructure policies, in particular G12 and G12a to avoid any adverse effects upon feature of biodiversity interest. Notwithstanding the requirements of other policies in the plan, effects on this objective can only be fully considered at the detailed planning application stage for new housing sites.</p> <p>One minor negative effect has been identified and this relates to air quality and emission of greenhouse gases. The Local Plan proposes a scale of change within York up to 2030 which will inevitably generate an increase in vehicles and vehicle movements above the existing baseline. Whilst other policies in the plan will help to mitigate effects on air quality from the construction of new houses, the indirect negative effects of an overall increase in vehicle use associated with new housing would have negative effects on objectives 7 and 12.</p> <p>No overall effects have been identified on objectives 3, 10 and 13.</p>												





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